

# FEE TRANSMITTAL



Attorney Docket No. 11287.105001

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Jun Li et al.**  
 Filing Date: **March 9, 2004**  
 Application No. **10/796,902**  
 Title: **Microcapsules for Encapsulation of Bioactive Substances**

The filing fee is calculated as shown below:

## 1. FILING FEE:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385		\$770	770
<input type="checkbox"/> UTILITY SEARCH FEE	\$250		\$500	
<input type="checkbox"/> UTILITY EXAMINATION FEE	\$100		\$200	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$100		\$200	
<input type="checkbox"/> DESIGN FILING FEE	\$100		\$200	
<input type="checkbox"/> DESIGN SEARCH FEE	\$50		\$100	
<input type="checkbox"/> DESIGN EXAMINATION FEE	\$65		\$130	
<input type="checkbox"/> REISSUE FILING FEE	\$150		\$300	
<input type="checkbox"/> REISSUE SEARCH FEE	\$250		\$500	
<input type="checkbox"/> REISSUE EXAMINATION FEE	\$300		\$600	
<input type="checkbox"/> APPLICATION SIZE FEE (If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).)	\$125		\$250	
SUBTOTAL (1)		\$	\$770	

## 2. CLAIMS:

FOR:	SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	24 - 20 =	4	x 25 =	x 50 = 200
INDEP. CLAIMS	4 - 3 =	1	x 100 =	x 200 = 200
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+180 =	+360 =
SUBTOTAL (2)		\$	\$400	

## 3. ADDITIONAL FEES:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	130
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input checked="" type="checkbox"/> OTHER - Extension Fee (5 months)	\$1080		\$2160	2160
SUBTOTAL (3)		\$	\$2290	

# FEE TRANSMITTAL

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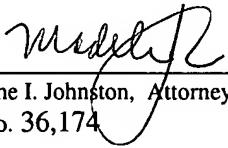
Application No. 10/796,902

**TOTAL FILING FEES: \$3460**

A check is enclosed for the amount: **\$1730**

- The Commissioner is hereby authorized to charge **\$1730** to Deposit Account No. 11-0980.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 or 1.17, or to credit any overpayment, to Deposit Account No. 11-0980. A duplicate of this document is enclosed.

KING & SPALDING LLP  
45<sup>th</sup> floor, 191 Peachtree Street, N.E.  
Atlanta, Georgia 30303  
Telephone: 404.572.4600

By:   
\_\_\_\_\_  
Madeline I. Johnston, Attorney for Applicant  
Reg. No. 36,174

Date: December 30, 2004



## TRANSMITTAL OF MISSING PARTS

### Certificate of Mailing Under 37 CFR 1.10

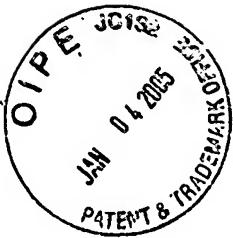
I hereby certify that this Transmittal of Missing Parts, along with any papers referred to as being attached or enclosed, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the below-identified date.

Deposit Date: December 30, 2004

Application No.: 10/796,902

Docket No.: 11287.105001 AST100

Minikia D. Blair  
Paralegal



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/796,902  
Applicant : Jun Li et al.  
Filed : March 9, 2004  
TC/A.U. : 1600/1615  
Examiner : Unassigned  
Title : Microcapsules for Encapsulation of Bioactive Substances  
  
Docket No. : 11287.105001 AST100  
Customer No. : 20786

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

December 30, 2004

**TRANSMITTAL OF MISSING PARTS RESPONSE**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed from the United States Patent and Trademark Office June 3, 2004, for which a response is due on January 3, 2005, with a five month extension of time requested herewith, Applicants submit the following documents:

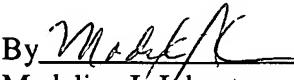
1. Notice to File Missing Parts - Part 2;
2. Declaration
3. Petition for Five-Month Extension of Time, including duplicate;
4. Fee Transmittal, including duplicate;
5. Check No. 455527 for \$1,730.00 to cover payment of the large entity basic filing fee, surcharge, extra claims, and partial payment of extension fee;
6. Certificate of Mailing; and,
7. Postcard.

It is believed that the submission of these documents and fee constitutes a complete response to the Notice. Applicants Petition for any additional required relief and the Commissioner is authorized to charge any additional fees, should they be due, and to credit any overpayment to Deposit Account No. 11-0980.

Applicants are not claiming small entity status as erroneously indicated on the Filing Receipt of June 3, 2004.

Respectfully submitted,

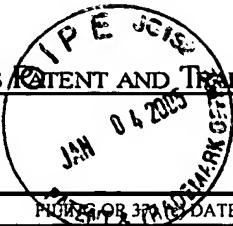
KING & SPALDING LLP

By   
\_\_\_\_\_  
Madeline I. Johnston  
Reg. No. 36,174  
Tel.: (404) 572-4600

December 30, 2004  
King & Spalding LLP  
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45<sup>th</sup> Floor  
Atlanta, GA 30303-1763  
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UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILED OR 371 DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/796,902	03/09/2004	Jun Li	AST100

Madeline I. Johnston  
King & Spalding, LLP  
191 Peachtree Street  
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CONFIRMATION NO. 1631  
FORMALITIES LETTER  
\*OC000000012850096\*  
\*OC000000012850096\*

Date Mailed: 06/03/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$79 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$529** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

• Total additional claim fee(s) for this application is \$79

- \$43 for 1 independent claims over 3.
- \$36 for 4 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*EW*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE